

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARK
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/374,704	08/12/99	BAIRD	E 238/298

LYON & LYON LLP
633 FIFTH STREET
SUITE 4700
LOS ANGELES CA 90017

0242/0901

NOT ASSIGNED

1643

DATE MAILED:

09/01/99

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application fails to comply with the requirements of 37 CFR 1.821 - 1.825.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ 7. OTHER: _____

APPLICANT MUST PROVIDE:

- ☐ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing."
- ☐ An initial or substitute paper copy of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- ☐ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE CONTACT:

- ☐ For Rules Interpretation, call (703) 308-1123.
- ☐ For CRF submission help, call (703) 308-4212.
- ☐ For PatentIn software help, call (703) 308-6856.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

Best Available Copy



Patent
238/298

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Peter B. Dervan and Eldon J. Baird

Serial No.: 09/374,704

Filed: August 12, 1999

For: INHIBITION OF MAJOR
GROOVE DNA BINDING PROTEINS BY
MODIFIED POLYAMIDES

Group Art Unit: 1643

Examiner: Not Assigned

STATEMENT UNDER 37 C.F.R. § 1.821 (F)

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

I hereby state that the content of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821 (e), (f) and (g), or § 1.825 (d) and (b) respectively, are the same.

Respectfully submitted,

LYON & LYON LLP

Dated: October 16, 1999

By: Charles S. Berkman

Charles S. Berkman
Reg. No. 38,077

633 West Fifth Street, Suite 4700
Los Angeles, California 90071-2066
Telephone: (858) 552-8400
Facsimile: (213) 955-0440

CERTIFICATE OF MAILING
(37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

October 18, 1999
Date of Deposit

Sarah B. Navarro
Name of Person Mailing Paper

Sarah B. Navarro
Signature of Person Mailing Paper



WD

Box SEQ GP 1643

Patent
238/298

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Peter B. Dervan and Eldon J. Baird

Serial No.: 09/374,704

Filed: August 12, 1999

For: INHIBITION OF MAJOR
GROOVE DNA BINDING PROTEINS BY
MODIFIED POLYAMIDES

Group Art Unit: 1643

Examiner: Not Assigned

RECEIVED

OCT 26 1999

TECH CENTER 1600/2900

RESPONSE TO COMMUNICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Communication mailed September 1, 1999, Applicants submit herewith the "Sequence Listing" in paper copy and in computer readable form as required under § 1.824 (a).

The Sequence Listing is provided in ASCII text on the accompanying diskette and the Statement Under 37 C.F. R. § 1.821 (f) is also provided. A copy of the Notice to Comply is attached to this Response.

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

October 18, 1999
Date of Deposit

SD-133840.1

Sarah B. Navarro
Name of Person Mailing Paper

Sarah B. Navarro
Signature of Person Mailing Paper

10/25/1999 RTSEGAYE 00000118 09:35:4704

55.00 00

01 FC:215

Please amend the specification by entering the enclosed Sequence Listing. The Sequence Listing was generated from the specification, Table 2, Figures 1, 6, 8, 11 and 13, and does not constitute new matter.


Pursuant to 37 CFR §1.136, applicants hereby petition for a one-month extension of time. This extension of time is effective to allow timely filing of this response up to and including November 1, 1999.

Enclosed is a check for the extension fee of \$55 as required by 37 CFR 1.17(a). If the enclosed fee is incorrect, please charge or credit our Deposit Account No. 12-2475 for the appropriate amount.

Respectfully submitted,

LYON & LYON LLP

Dated: October 16, 1999

By: 
Charles S. Berkman
Reg. No. 38,077

633 West Fifth Street, Suite 4700
Los Angeles, California 90071-2066
Telephone: (858) 552-8400
Facsimile: (213) 955-0440

.CSB:sbn